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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/880,856
Filing Date	June 15, 2001
First Named Inventor	Schumann
Group Art Unit	2131
Examiner Name	unknown
Attorney Docket Number	1999-23US

Total Number of Pages in This Submission 12

ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
- ☒ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☒ Response to Missing Parts/
Incomplete Application
- ☒ Response to Missing Parts
under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers
(for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a
Provisional Application
- ☐ Power of Attorney, Revocation
Change of Correspondence
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- ☐ Terminal Disclaimer
- ☐ Request for Refund
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- ☐ After Allowance Communication
to Group
- ☐ Appeal Communication to Board
of Appeals and Interferences
- ☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☐ Other Enclosure(s) (please
identify below):

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

David G. Grossman, Registration Number 42,609

Signature

Date

10/13/2001

CERTIFICATE OF MAILING

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David G. Grossman

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#3

FEE TRANSMITTAL
for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 130.00

Complete if Known

Application Number	09/880,856
Filing Date	06/15/2001
First Named Inventor	Schumman
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METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																													
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number: 500793</p> <p>Deposit Account Name: Digital Video Express</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>		<p>3. ADDITIONAL FEES</p> <table><thead><tr><th>Large Entity Fee Code (\$)</th><th>Small Entity Fee Code (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>105 130 205 65</td><td></td><td>Surcharge - late filing fee or oath</td><td>130.00</td></tr><tr><td>127 50 227 25</td><td></td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr><tr><td>139 130 139 130</td><td></td><td>Non-English specification</td><td></td></tr><tr><td>147 2,520 147 2,520</td><td></td><td>For filing a request for <i>ex parte</i> reexamination</td><td></td></tr><tr><td>112 920* 112 920*</td><td></td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td>113 1,840* 113 1,840*</td><td></td><td>Requesting publication of SIR after Examiner action</td><td></td></tr><tr><td>115 110 215 55</td><td></td><td>Extension for reply within first month</td><td></td></tr><tr><td>116 400 216 200</td><td></td><td>Extension for reply within second month</td><td></td></tr><tr><td>117 920 217 460</td><td></td><td>Extension for reply within third month</td><td></td></tr><tr><td>118 1,440 218 720</td><td></td><td>Extension for reply within fourth month</td><td></td></tr><tr><td>128 1,960 228 980</td><td></td><td>Extension for reply within fifth month</td><td></td></tr><tr><td>119 320 219 160</td><td></td><td>Notice of Appeal</td><td></td></tr><tr><td>120 320 220 160</td><td></td><td>Filing a brief in support of an appeal</td><td></td></tr><tr><td>121 280 221 140</td><td></td><td>Request for oral hearing</td><td></td></tr><tr><td>138 1,510 138 1,510</td><td></td><td>Petition to institute a public use proceeding</td><td></td></tr><tr><td>140 110 240 55</td><td></td><td>Petition to revive - unavoidable</td><td></td></tr><tr><td>141 1,280 241 640</td><td></td><td>Petition to revive - unintentional</td><td></td></tr><tr><td>142 1,280 242 640</td><td></td><td>Utility issue fee (or reissue)</td><td></td></tr><tr><td>143 460 243 230</td><td></td><td>Design issue fee</td><td></td></tr><tr><td>144 620 244 310</td><td></td><td>Plant issue fee</td><td></td></tr><tr><td>122 130 122 130</td><td></td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>123 50 123 50</td><td></td><td>Processing fee under 37 CFR 1.17(q)</td><td></td></tr><tr><td>126 180 126 180</td><td></td><td>Submission of Information Disclosure Stmt</td><td></td></tr><tr><td>581 40 581 40</td><td></td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr><tr><td>146 740 246 370</td><td></td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr><tr><td>149 740 249 370</td><td></td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr><tr><td>179 740 279 370</td><td></td><td>Request for Continued Examination (RCE)</td><td></td></tr><tr><td>169 900 169 900</td><td></td><td>Request for expedited examination of a design application</td><td></td></tr><tr><td colspan="3">Other fee (specify) _____</td><td></td></tr><tr><td colspan="3">Subtotal (3) (\$) 130.00</td><td></td></tr></tbody></table>		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105 130 205 65		Surcharge - late filing fee or oath	130.00	127 50 227 25		Surcharge - late provisional filing fee or cover sheet		139 130 139 130		Non-English specification		147 2,520 147 2,520		For filing a request for <i>ex parte</i> reexamination		112 920* 112 920*		Requesting publication of SIR prior to Examiner action		113 1,840* 113 1,840*		Requesting publication of SIR after Examiner action		115 110 215 55		Extension for reply within first month		116 400 216 200		Extension for reply within second month		117 920 217 460		Extension for reply within third month		118 1,440 218 720		Extension for reply within fourth month		128 1,960 228 980		Extension for reply within fifth month		119 320 219 160		Notice of Appeal		120 320 220 160		Filing a brief in support of an appeal		121 280 221 140		Request for oral hearing		138 1,510 138 1,510		Petition to institute a public use proceeding		140 110 240 55		Petition to revive - unavoidable		141 1,280 241 640		Petition to revive - unintentional		142 1,280 242 640		Utility issue fee (or reissue)		143 460 243 230		Design issue fee		144 620 244 310		Plant issue fee		122 130 122 130		Petitions to the Commissioner		123 50 123 50		Processing fee under 37 CFR 1.17(q)		126 180 126 180		Submission of Information Disclosure Stmt		581 40 581 40		Recording each patent assignment per property (times number of properties)		146 740 246 370		Filing a submission after final rejection (37 CFR § 1.129(a))		149 740 249 370		For each additional invention to be examined (37 CFR § 1.129(b))		179 740 279 370		Request for Continued Examination (RCE)		169 900 169 900		Request for expedited examination of a design application		Other fee (specify) _____				Subtotal (3) (\$) 130.00			
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**or number previously paid, if greater; For Reissues, see above

*Reduced by Basic Filing Fee Paid

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	David G. Grossman	Registration No. (Attorney/Agent)	42,609
Signature		Telephone	703-689-4881
		Date	10/13/2001

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#3

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/880,856	06/15/2001		1999-23US

CONFIRMATION NO. 7222

FORMALITIES LETTER



OC00000006434006

David G. Grossman
1408 Bayshire Lane
Herndon, VA 20170

Date Mailed: 08/16/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

AM

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/17/2001 CCHAU1 00000080 500793 09880856

01 FC:105 130.00 CH